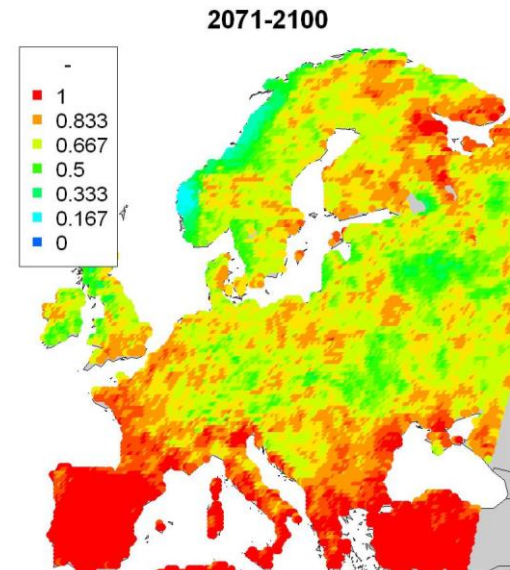
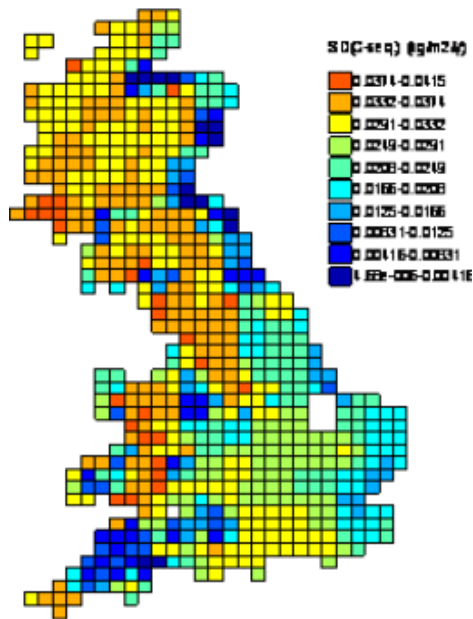


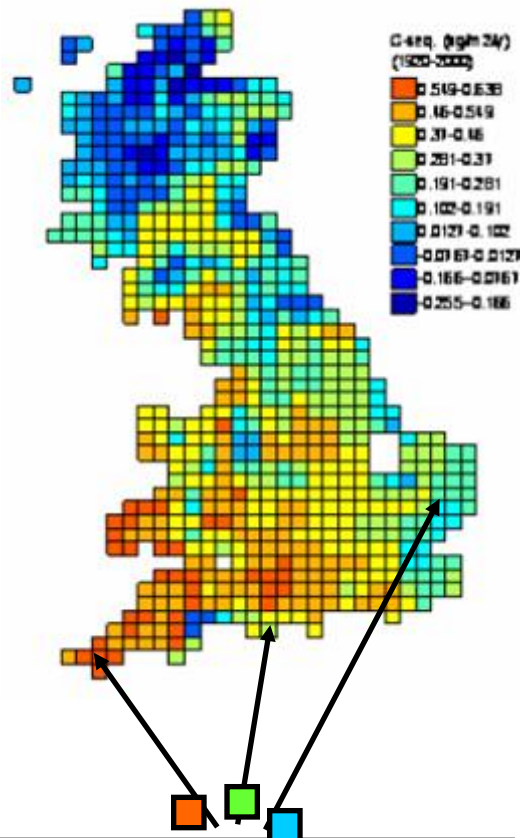
# Methods for spatial upscaling and risk analysis of process-based models

Experiences from projects GREENHOUSE and Carbo-Extreme

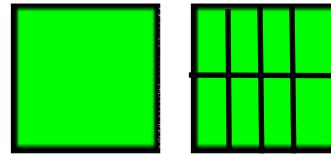


Marcel van Oijen, David Cameron, Peter Levy (CEH-Edinburgh),  
and colleagues in GREENHOUSE and Carbo-Extreme

# Spatial upscaling methods used for process-based models



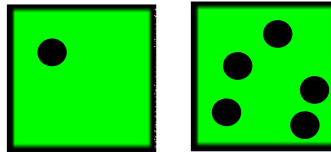
Individual grid cells  
("regions") are large →  
What is the model output  
uncertainty for each cell ?



## UPSCALING METHOD

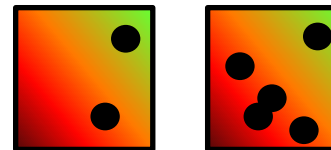
### Input aggregation

$$\bar{y} = f(\bar{x})$$



### Point selection

$$\bar{y} = \frac{1}{n} \sum_{i=1}^n y_i$$

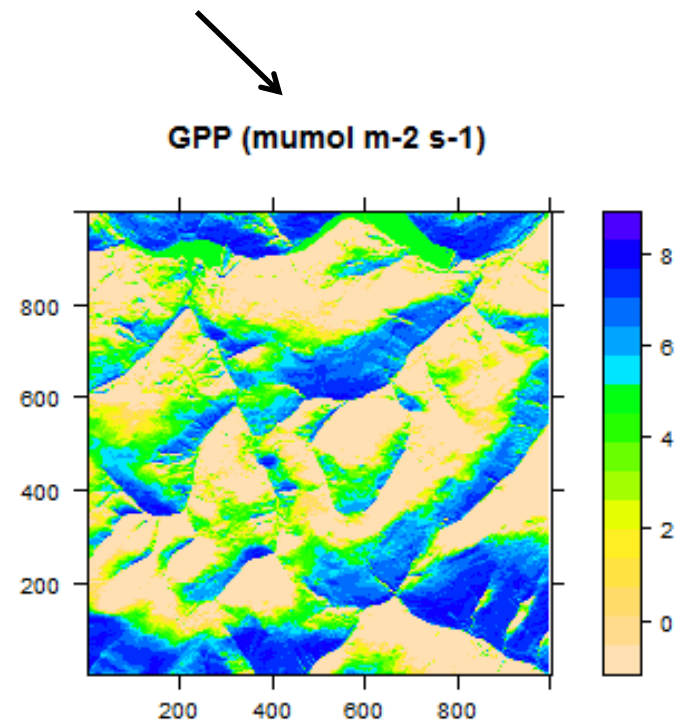
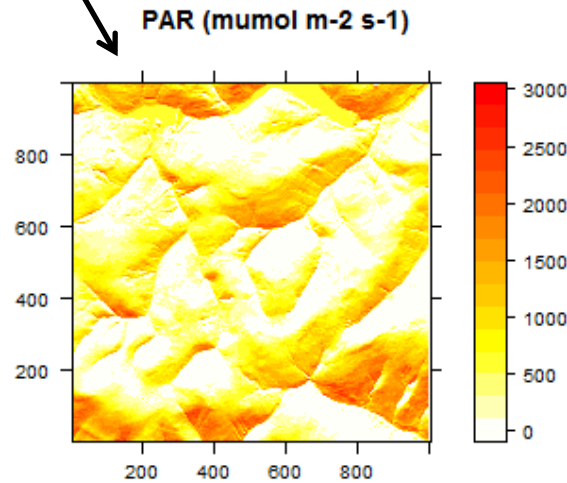
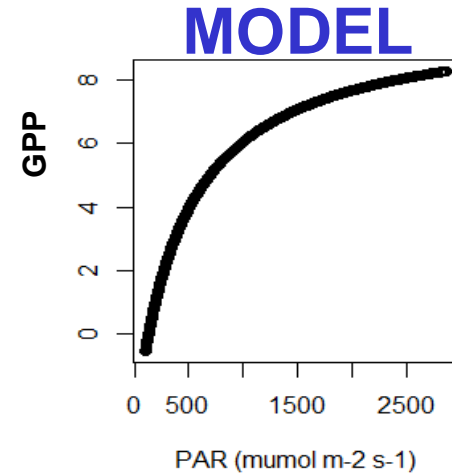


### Interpolation (e.g. geostatistics)

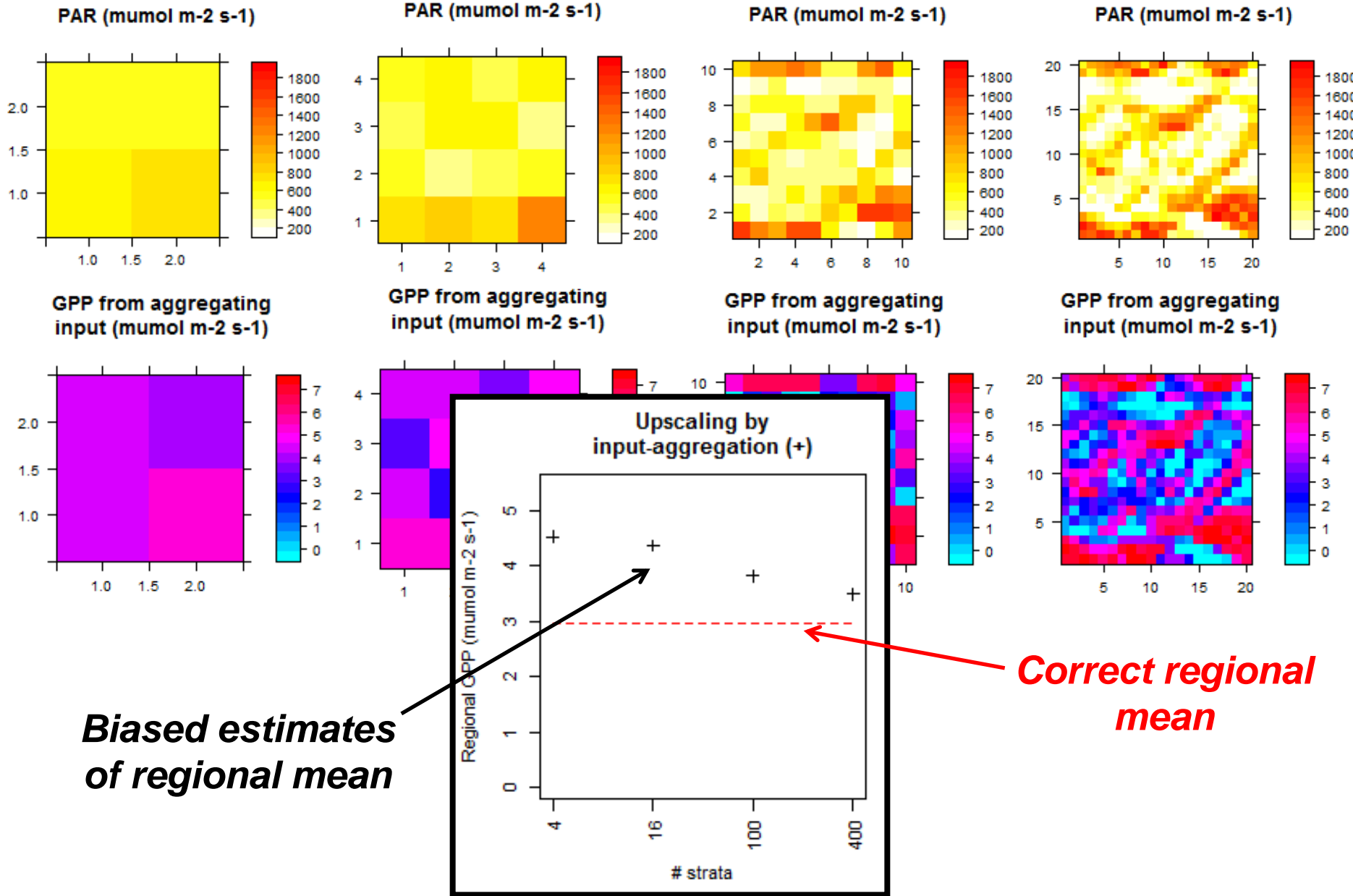
$$p(\bar{y}|\{y_i\}) = \int p(\bar{y}|\theta, \{y_i\}) p(\theta|\{y_i\}) d\theta$$

↑  
Θ = Spatial hyperparameters  
(variance, correlation length)

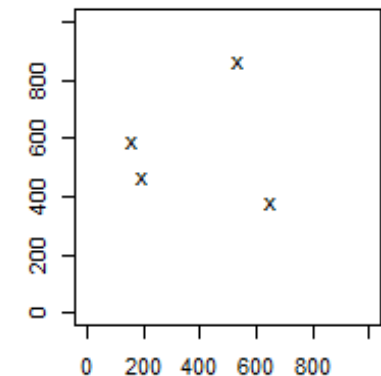
# Example: region 5 x 5 km



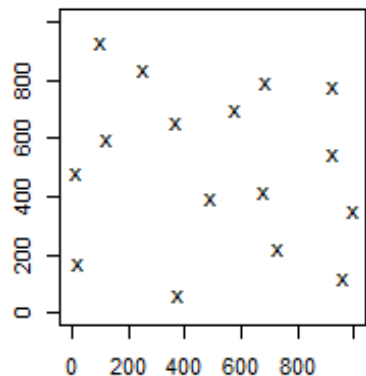
# UPSCALING METHOD 1: Input Aggregation



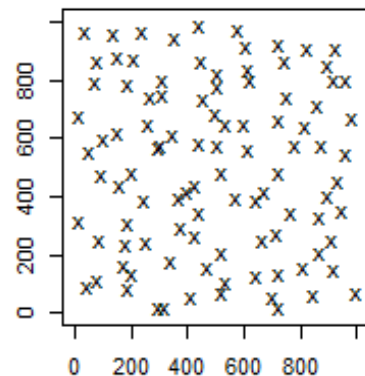
# UPSCALING METHOD 2: Point Selection



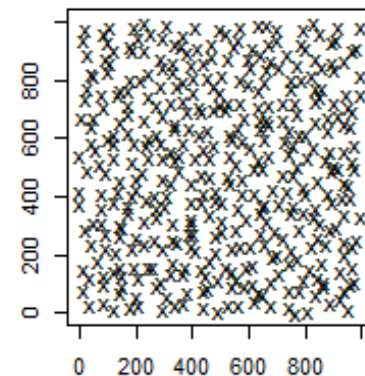
GPP from selecting random points (mumol m<sup>-2</sup> s<sup>-1</sup>)  
Point selection 1



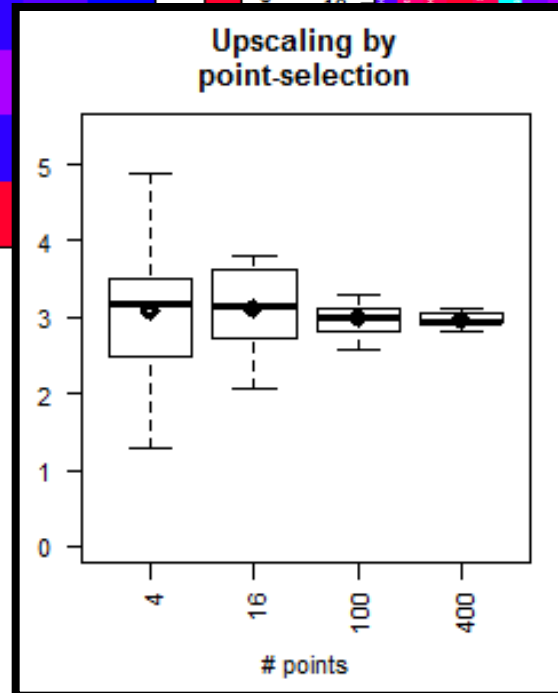
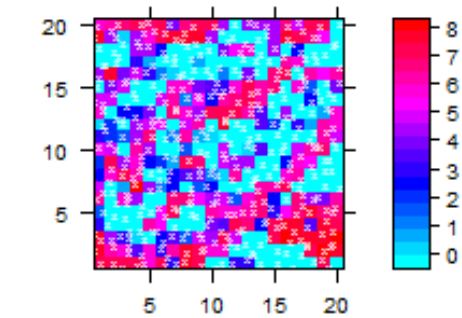
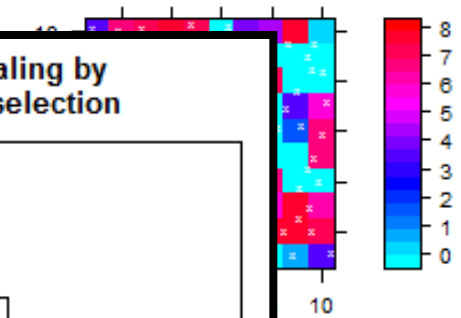
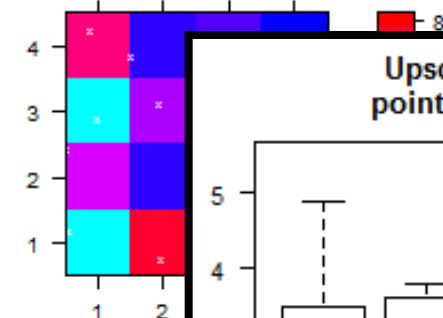
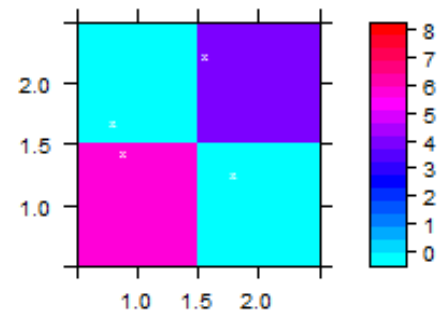
GPP from selecting random points (mumol m<sup>-2</sup> s<sup>-1</sup>)  
Point selection 1



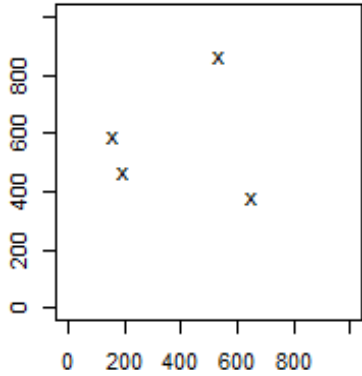
GPP from selecting random points (mumol m<sup>-2</sup> s<sup>-1</sup>)  
Point selection 1



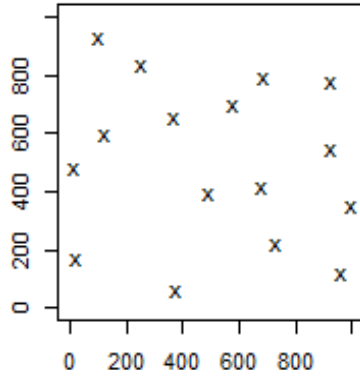
GPP from selecting random points (mumol m<sup>-2</sup> s<sup>-1</sup>)  
Point selection 1



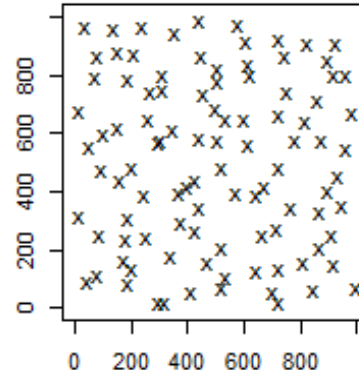
# UPSCALING METHOD 3: Bayesian Kriging



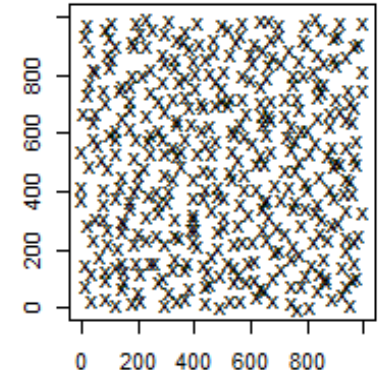
GPP from geostats  
(mumol m-2 s-1)  
Point selection 1



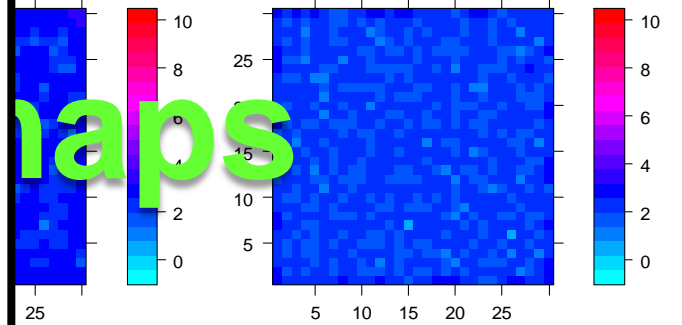
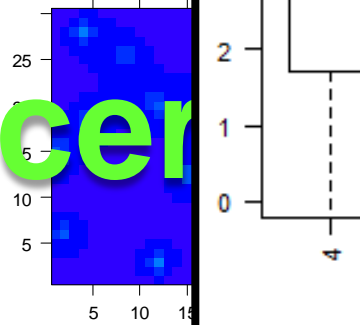
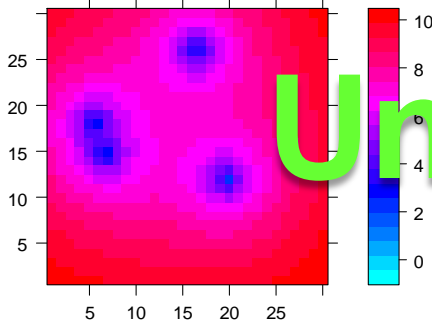
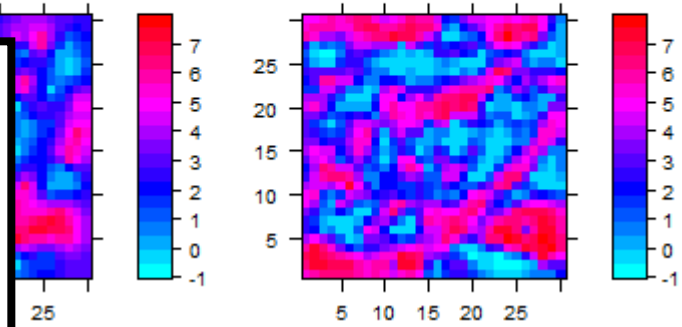
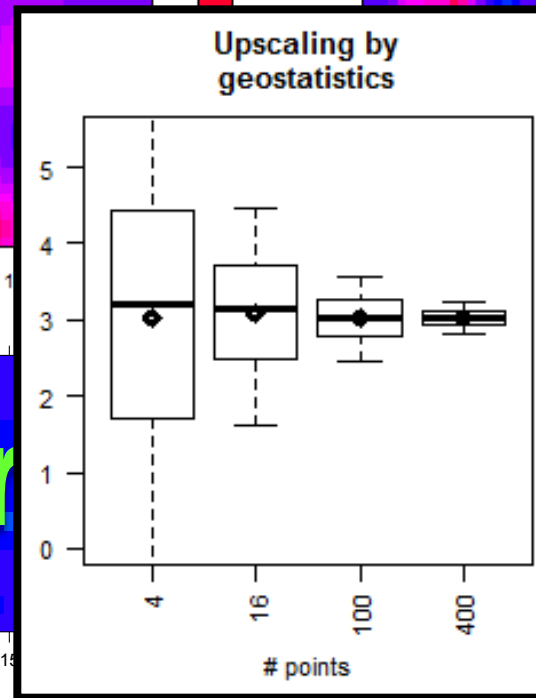
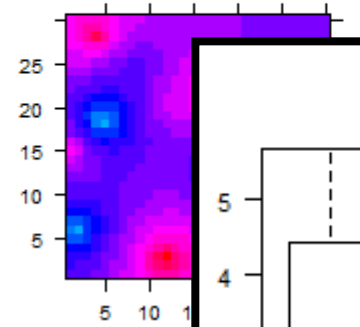
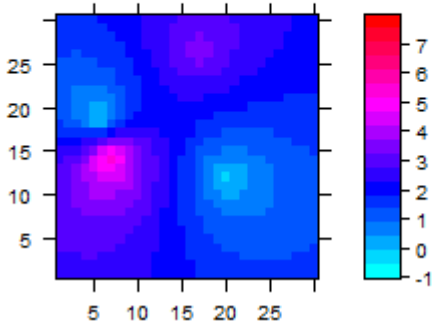
GPP from geostats  
(mumol m-2 s-1)  
Point selection 1



GPP from geostats  
(mumol m-2 s-1)  
Point selection 1



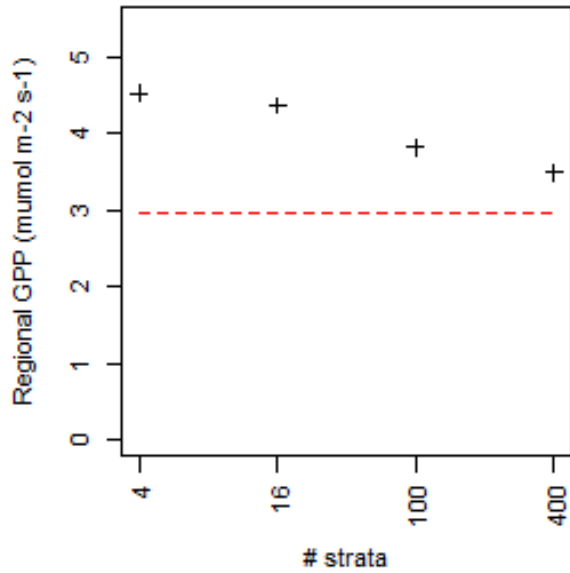
GPP from geostats  
(mumol m-2 s-1)  
Point selection 1



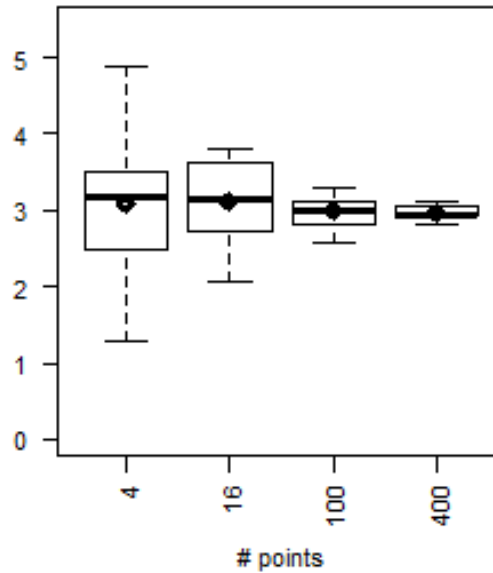
Uncertainty maps

# EXAMPLE 1: Comparison of methods

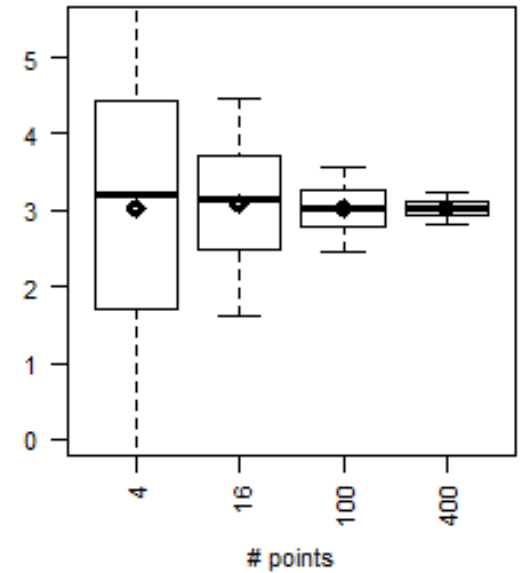
Upscaling by  
input-aggregation (+)



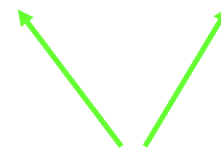
Upscaling by  
point-selection



Upscaling by  
geostatistics

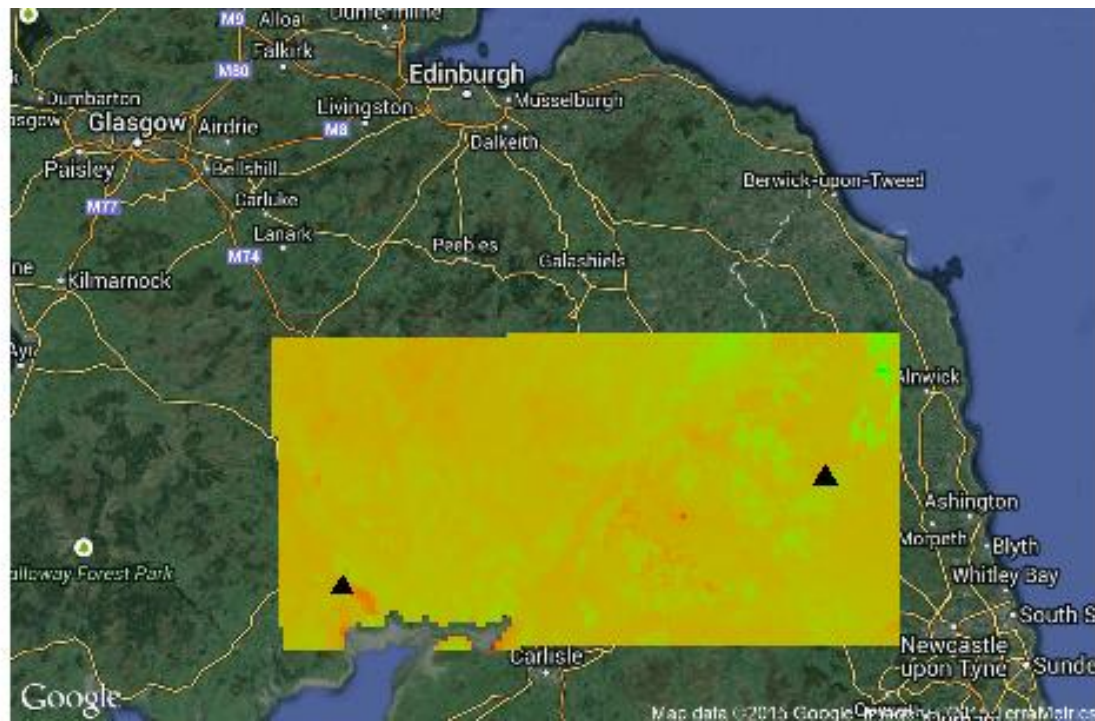


**Biased method**



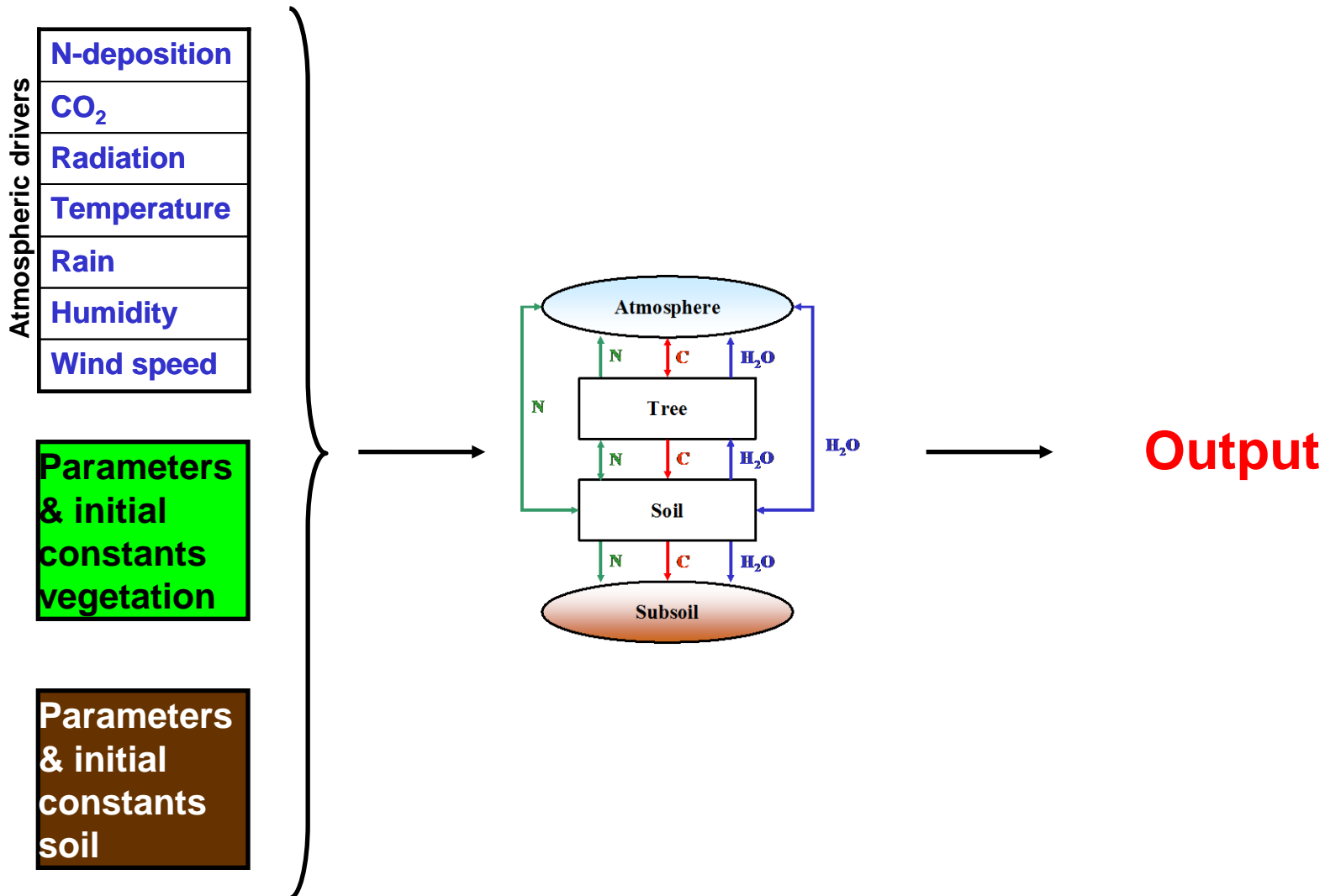
**Unbiased methods**

# Example region: 128 x 64 km





# Model BASECO

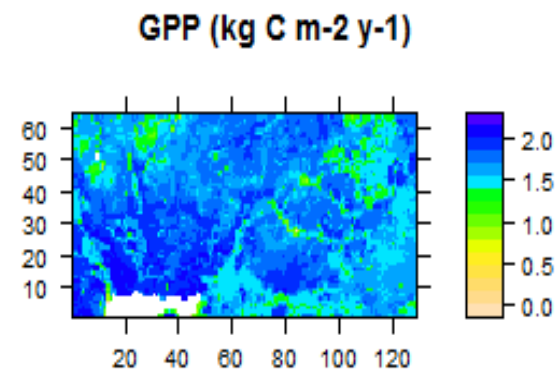
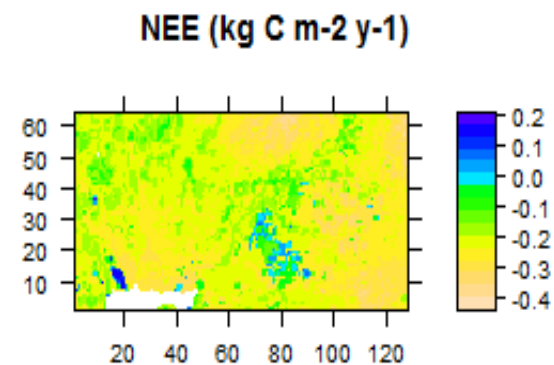
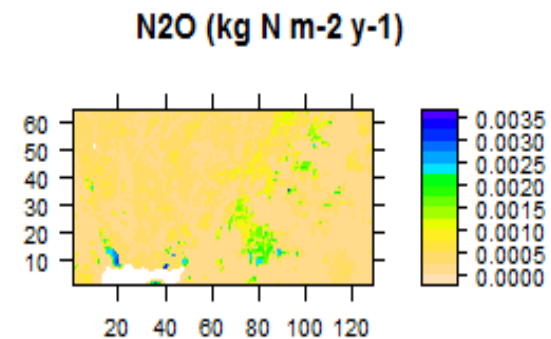
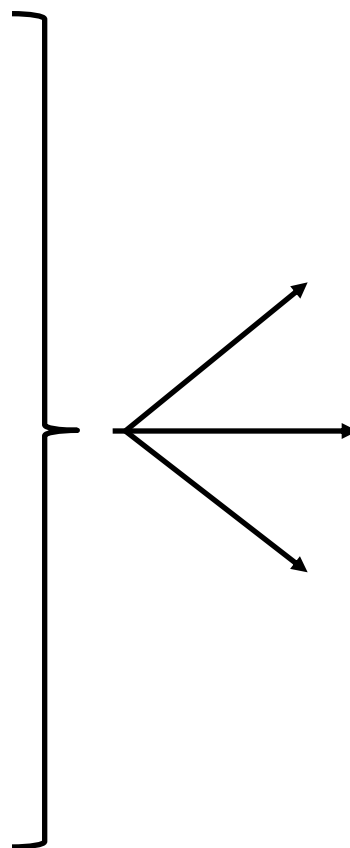
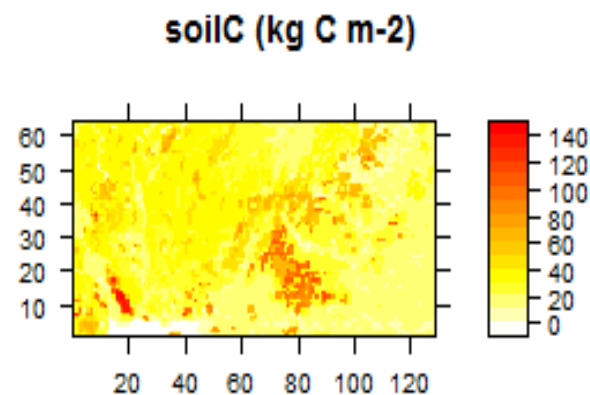
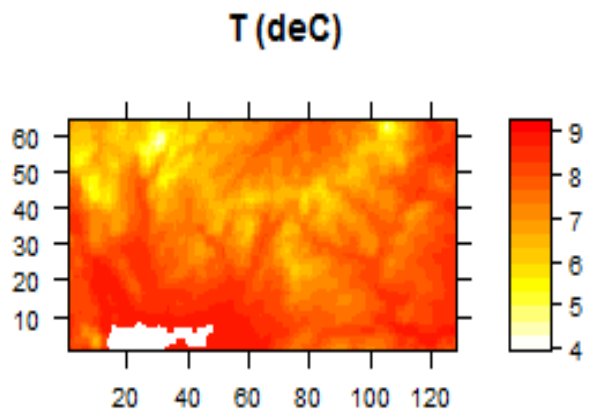


**Input**

**Model**

**Output**

# Some inputs and outputs of BASECO



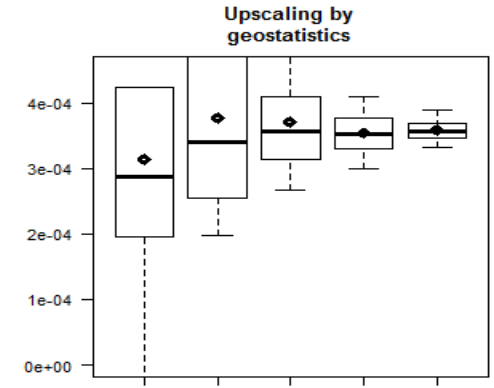
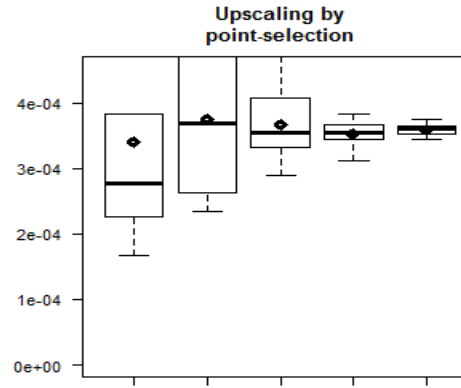
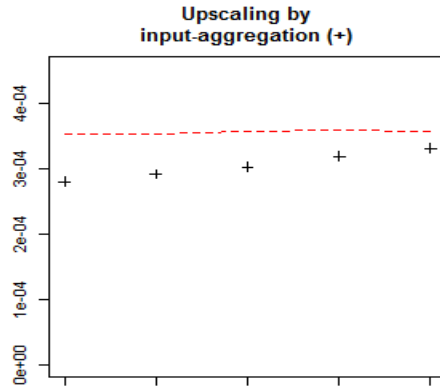
**Input**

**Model**

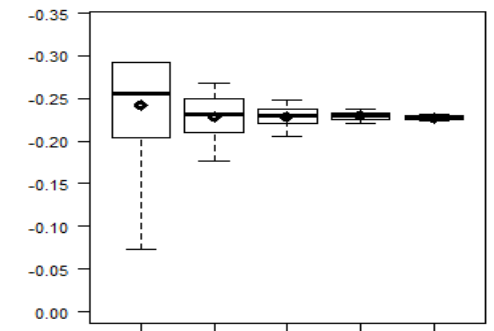
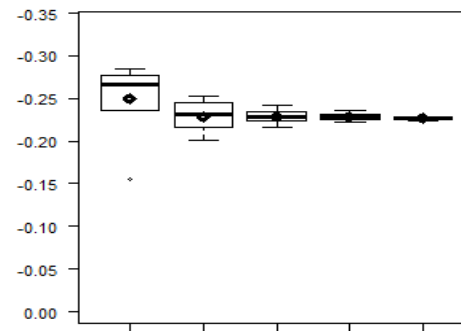
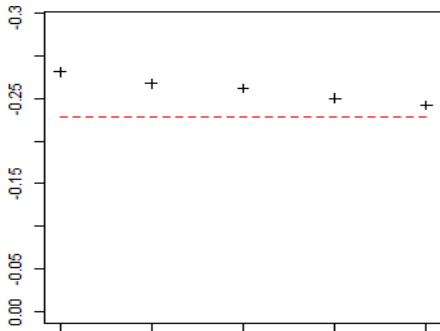
**Output**

# EXAMPLE 2: Comparison of methods

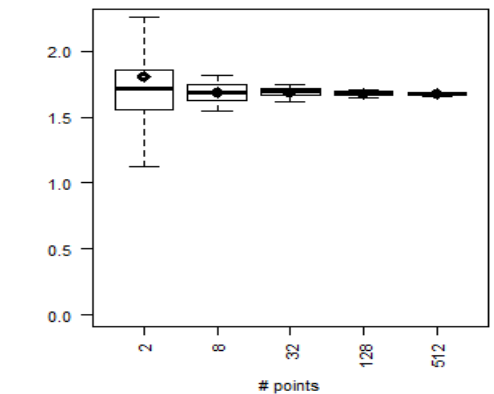
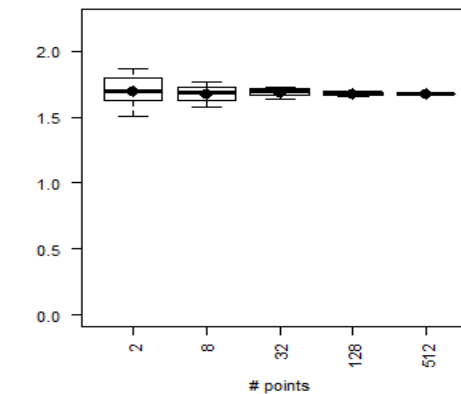
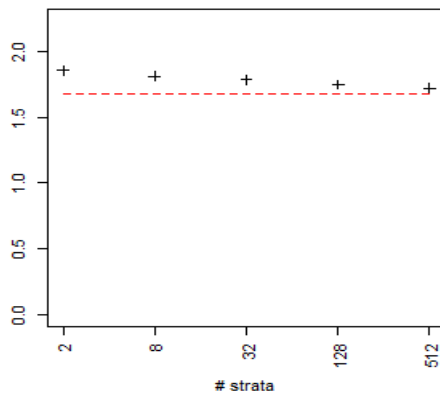
**N<sub>2</sub>O**  
(kg N ha<sup>-1</sup> y<sup>-1</sup>)



**NEE**  
(kg N ha<sup>-1</sup> y<sup>-1</sup>)



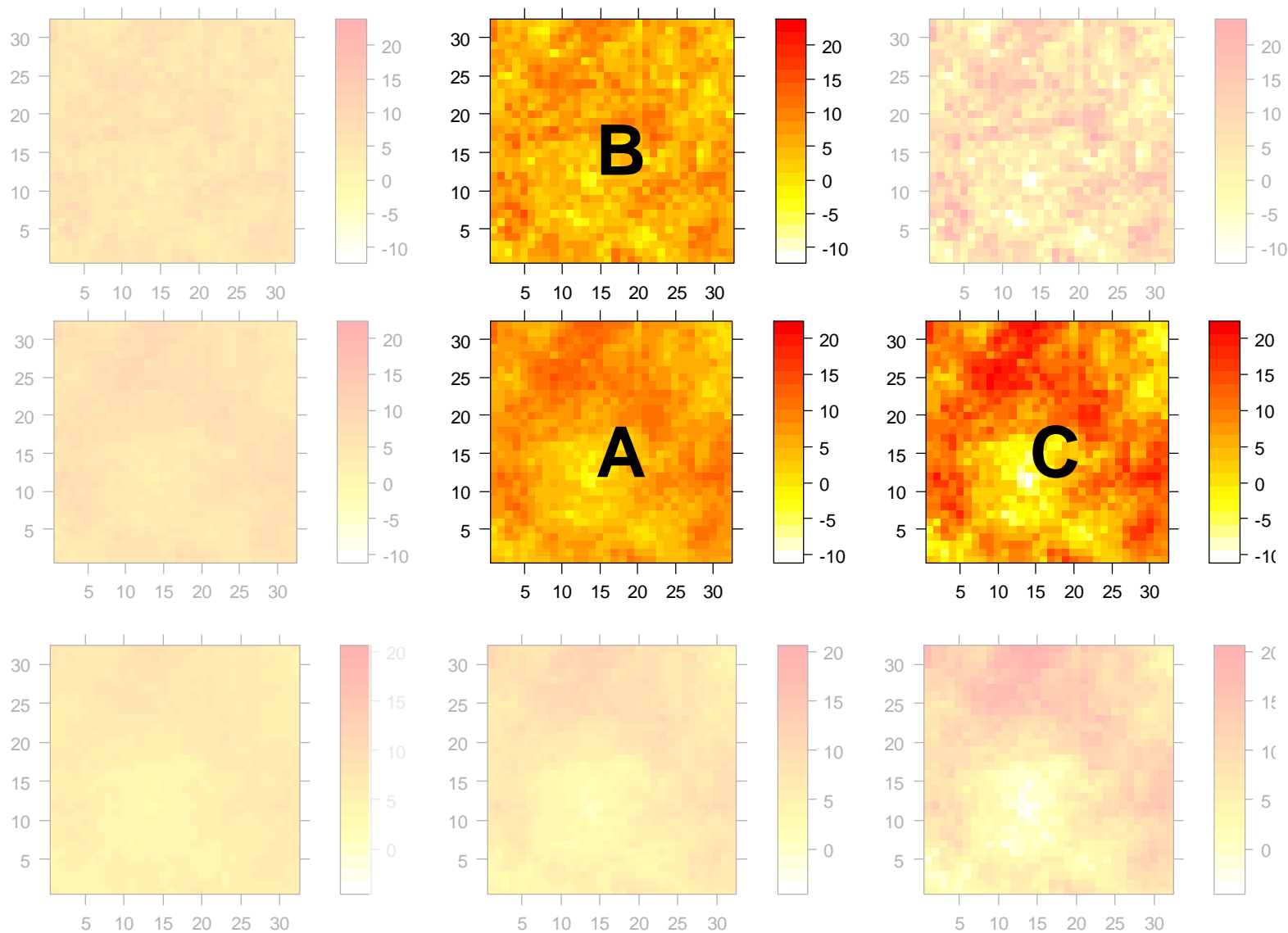
**GPP**  
(kg N ha<sup>-1</sup> y<sup>-1</sup>)



# Spatial heterogeneity: Gaussian Random Fields (GRFs)

Variance  $\longrightarrow$

Spatial correl. length (clustering)  $\downarrow$



# Impact of spatial correlation & variance on upscaling

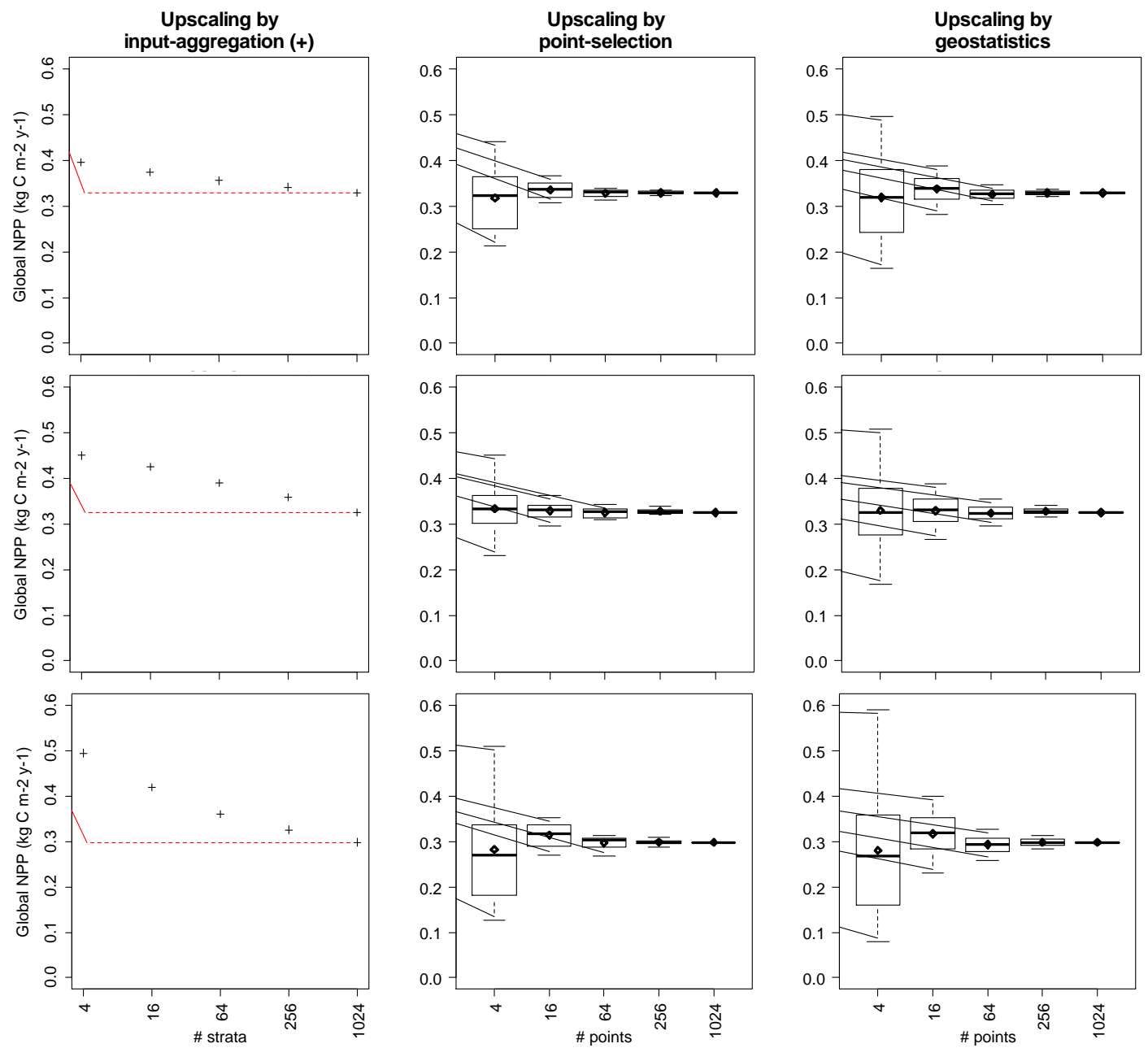
**A.**

**B.**

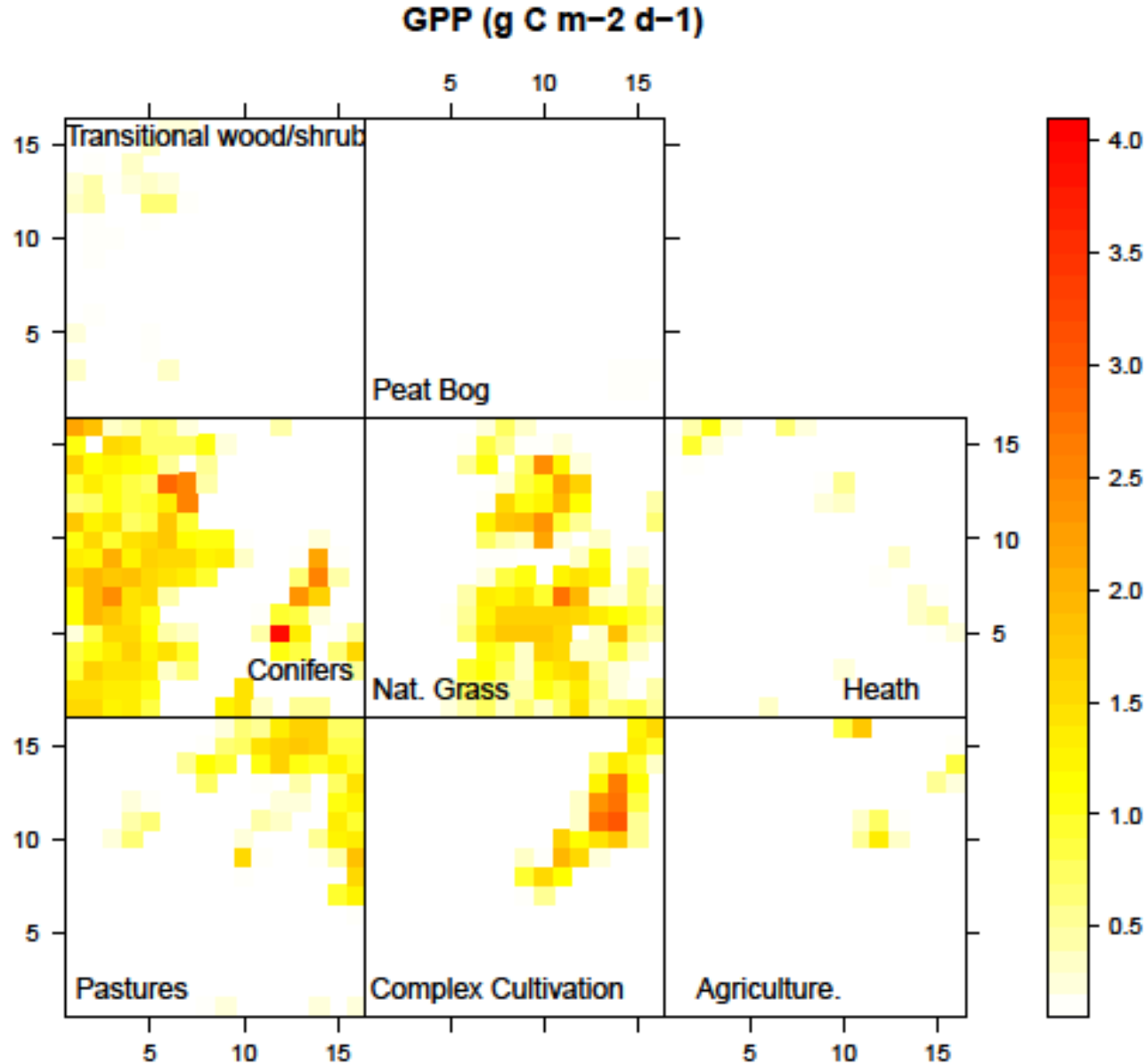
Smaller spatial correlation length (less clustering)

**C.**

Greater variance



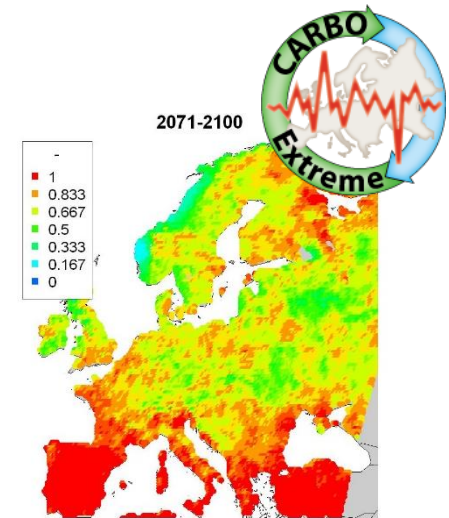
# Current work: upscaling for multiple land-use types



# Upscaling methods: Discussion

- Upscaling errors depend on:
  - sampling intensity and spatial distribution
  - output variable
  - variance & autocorrelation length
- Input Aggregation gives biased estimates of the regional mean; the other methods are unbiased
- Uncertainty estimation is part of (geostatistical) Interpolation; the other methods require data from representative regions

# Risk analysis in project Carbo-Extreme

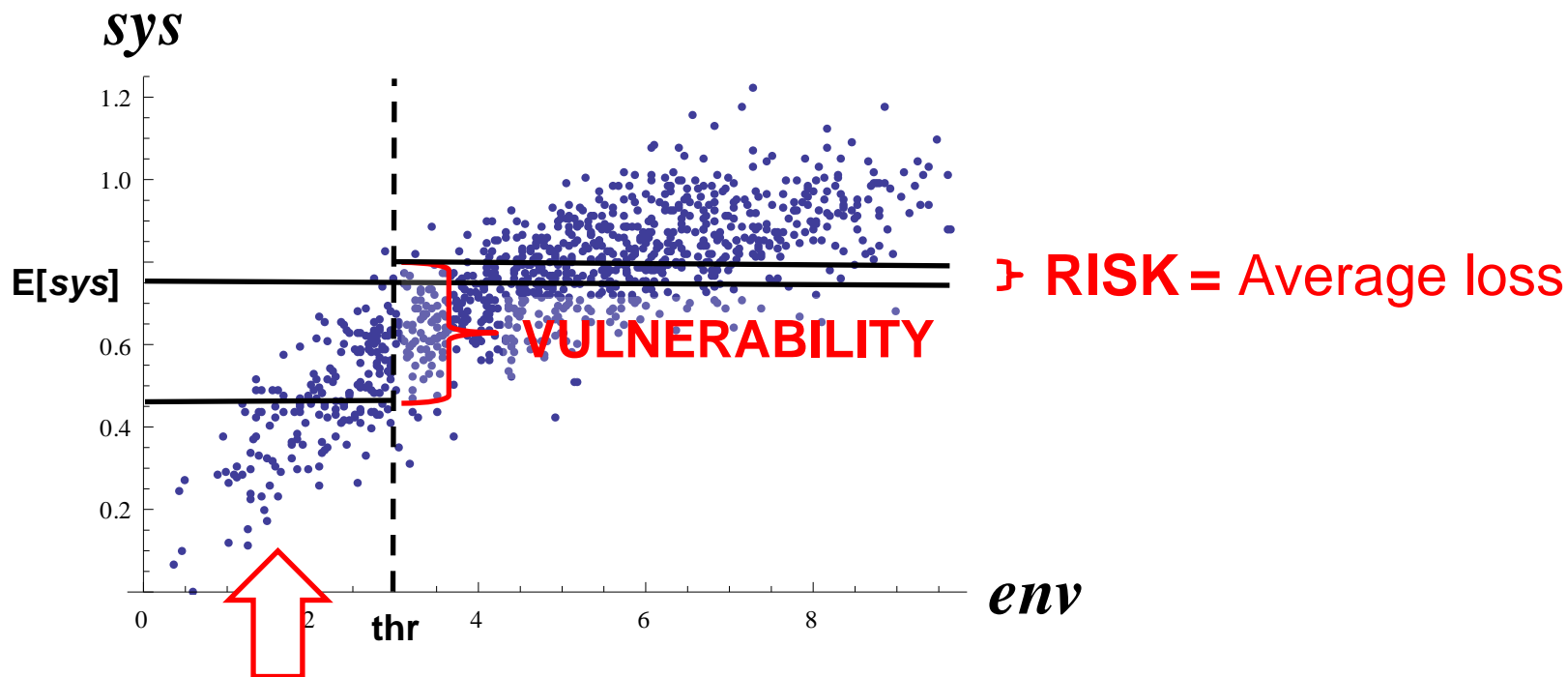


**Theory:** Van Oijen, M., Beer, C., Cramer, W., Rammig, A., Reichstein, M., Rolinski, S., Seneviratne, S. & Soussana, J.-F. (2013). A novel probabilistic risk analysis to determine the vulnerability of ecosystems to extreme climatic events. **Environmental Research Letters** 8: 015032. <http://iopscience.iop.org/1748-9326/8/1/015032>

**Application:** Van Oijen, M., Balkovič, J., Beer, C., Cameron, D., Ciais, P., Cramer, W., Kato, T., Kuhnert, M., Martin, R., Myneni, R., Rammig, A., Rolinski, S., Soussana, J.-F., Thonicke, K., Van der Velde, M. & Xu, L. (2014). Impact of droughts on the C-cycle in European vegetation: A probabilistic risk analysis using six vegetation models. **Biogeosciences** 11: 6357-6375. <http://www.biogeosciences.net/11/6357/2014/bg-11-6357-2014.html>



# How does the analysis work?



**P(Hazardous) =**  
frequency  $env < thr$

$$\mathbf{RISK = VULNERABILITY * P(Hazardous)}$$

# Risk analysis carried out in Carbo-Extreme

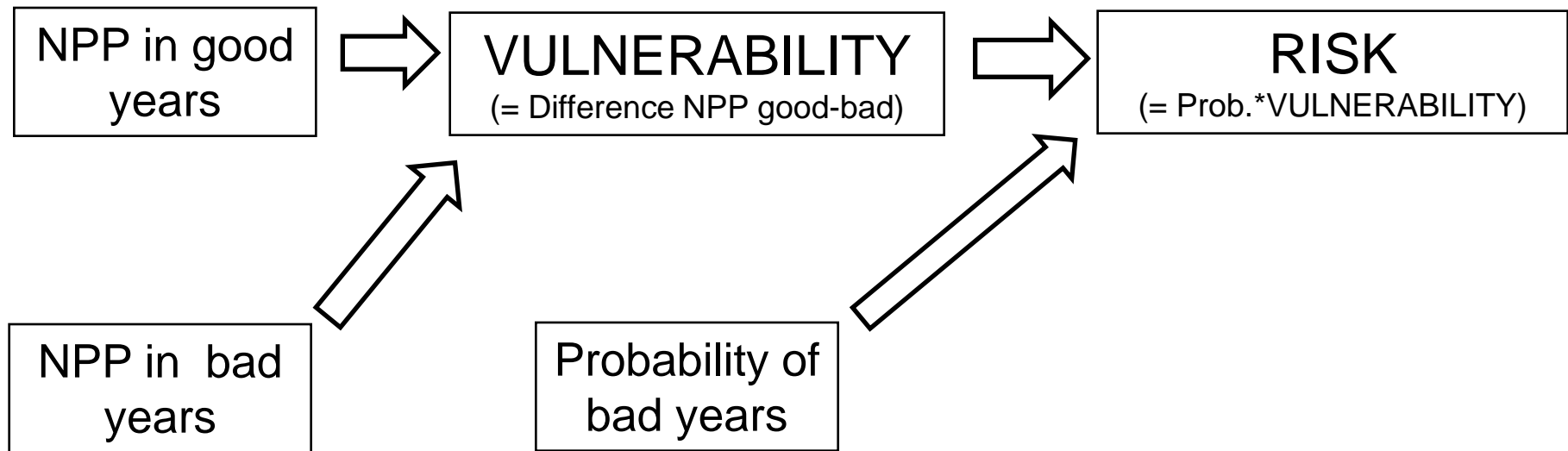
- **Time periods:** 1971-2000, 2071-2100
- **sys:** NPP, NEP,  $R_h$ , SWC, ET
- **env:** Drought (\*)

• **Models:**

Group	Model	Ecosystem
PIK	LPJ	generic
LCSE	ORCHIDEE	generic
MPI	JSBACH	generic
CEH	BASFOR	forest
IIASA	EPIC	crops
INRA	PASIM	grassland

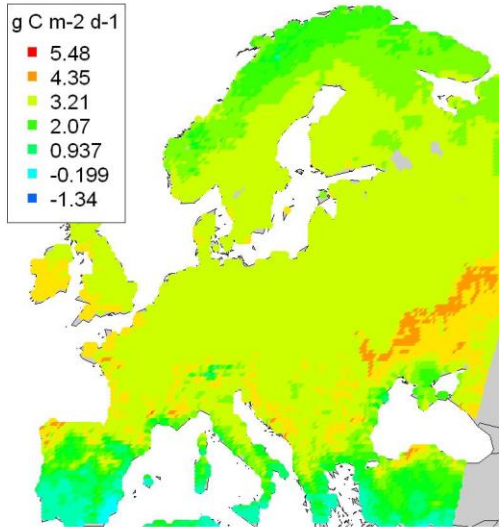
(\*) Threshold: Standardized Precipitation Evapotranspiration Index (SPEI) < -1

# Example: Risk analysis for NPP

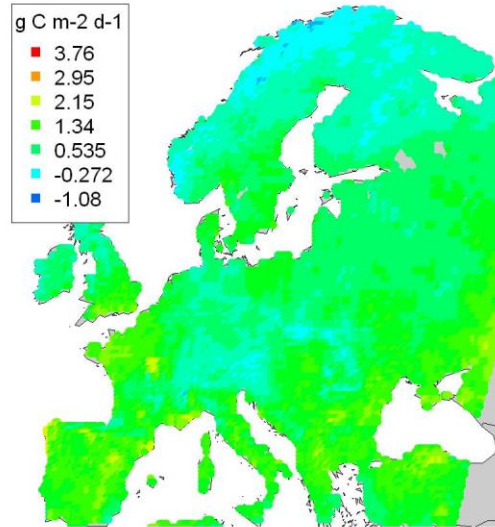


# Risk analysis NPP (model PIK) 1971-2000

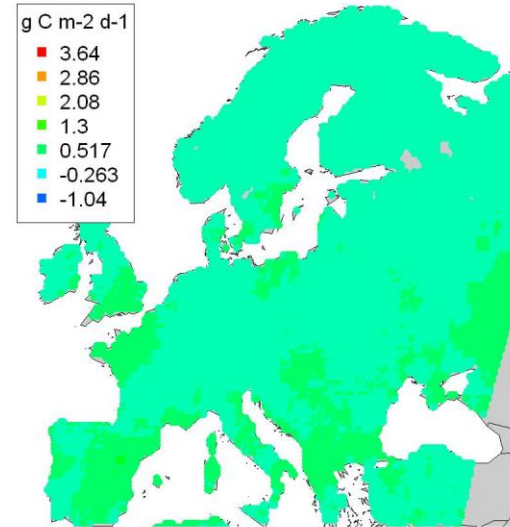
A:  $E[NPP | SPEI > -1]$   
1971-2000



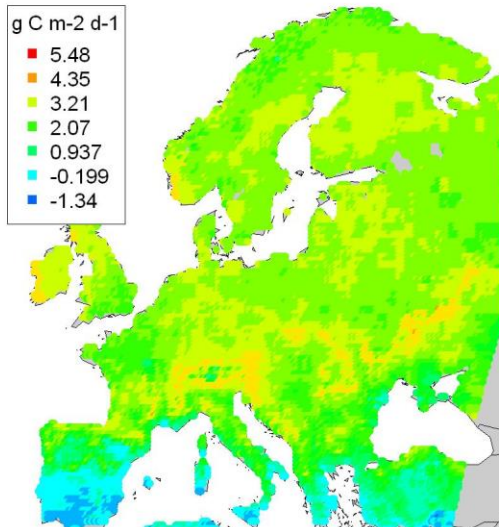
C: Vulnerability[ NPP | SPEI < -1 ]  
1971-2000



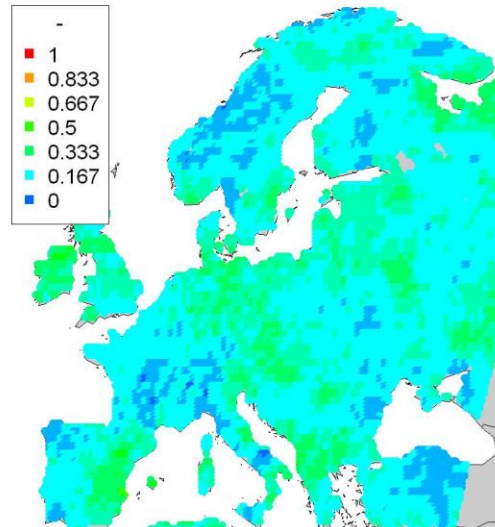
E: Risk[ NPP | SPEI < -1 ]  
1971-2000



B:  $E[NPP | SPEI < -1]$   
1971-2000

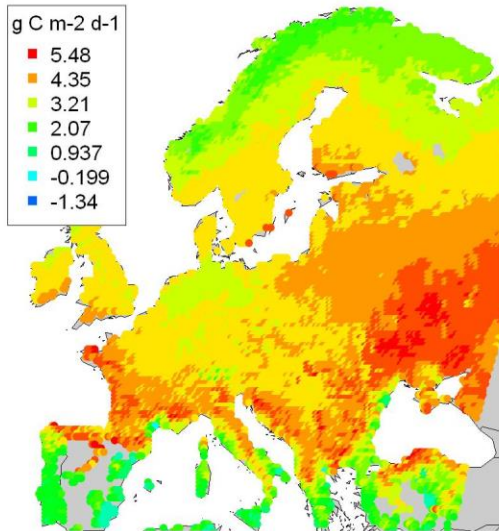


D:  $P[SPEI < -1]$   
1971-2000

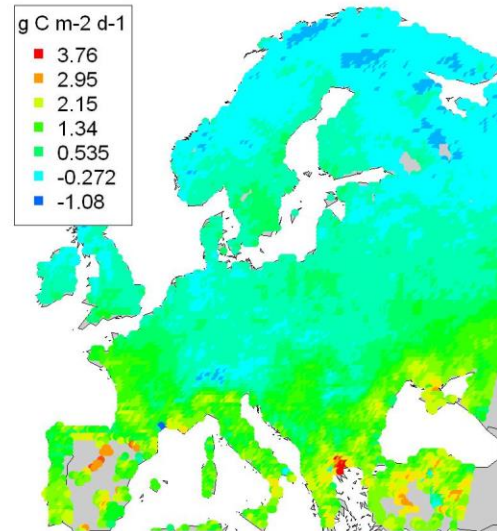


# Risk analysis NPP (model PIK) 2071-2100

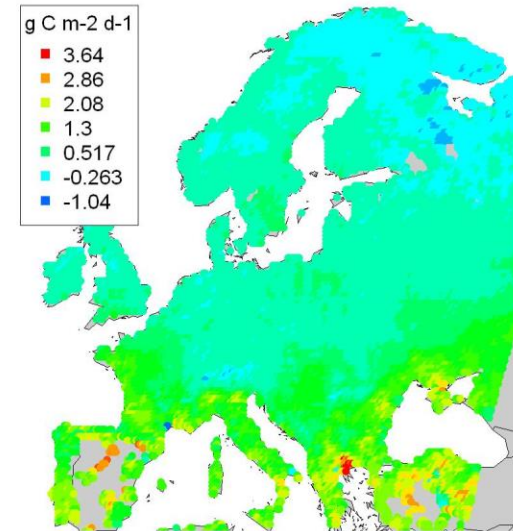
A:  $E[NPP | SPEI > -1]$   
2071-2100



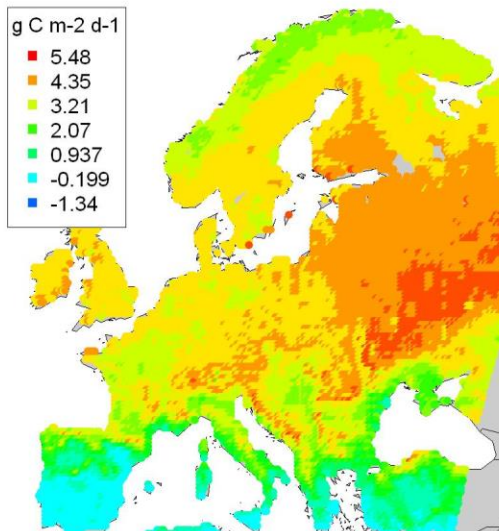
C: Vulnerability[ NPP | SPEI < -1 ]  
2071-2100



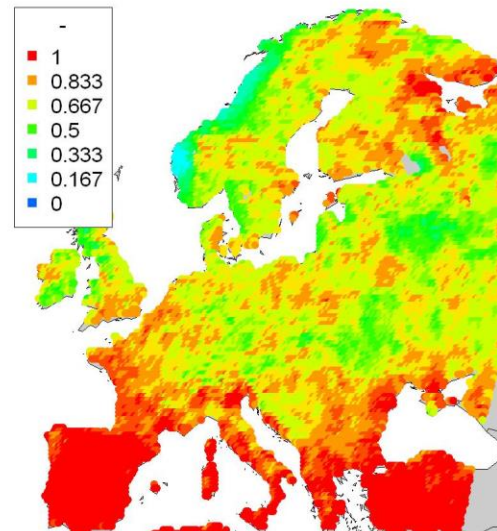
E: Risk[ NPP | SPEI < -1 ]  
2071-2100



B:  $E[NPP | SPEI < -1]$   
2071-2100

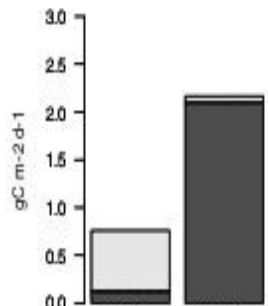
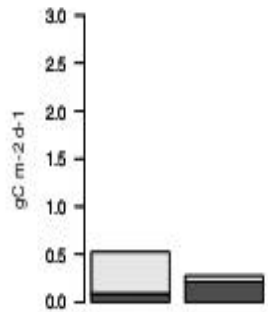
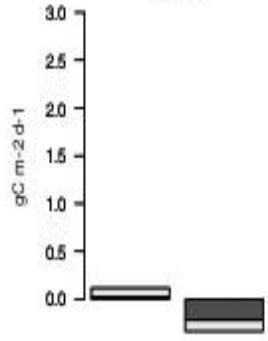


D:  $P[SPEI < -1]$   
2071-2100

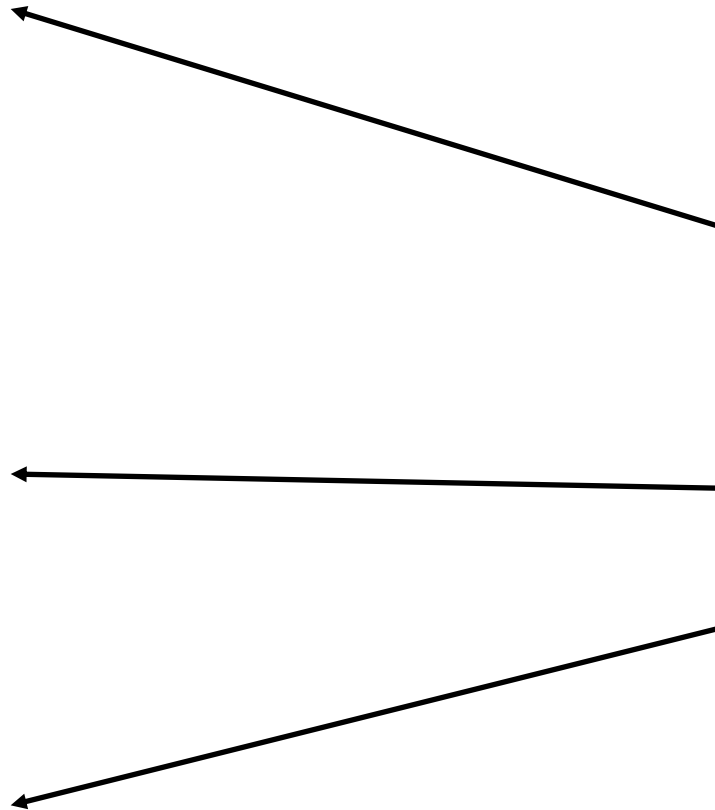


# Risk analysis NPP (model PIK) per latitudinal band

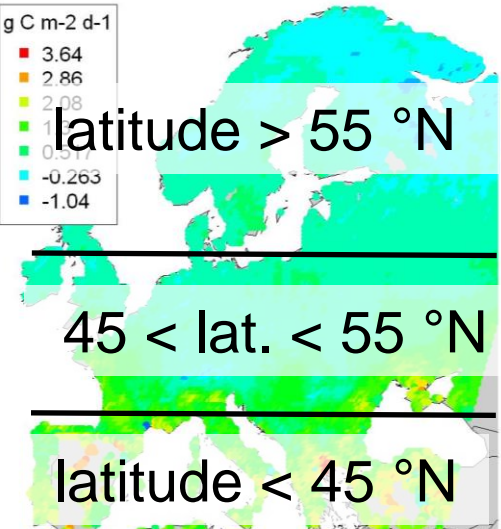
NPP



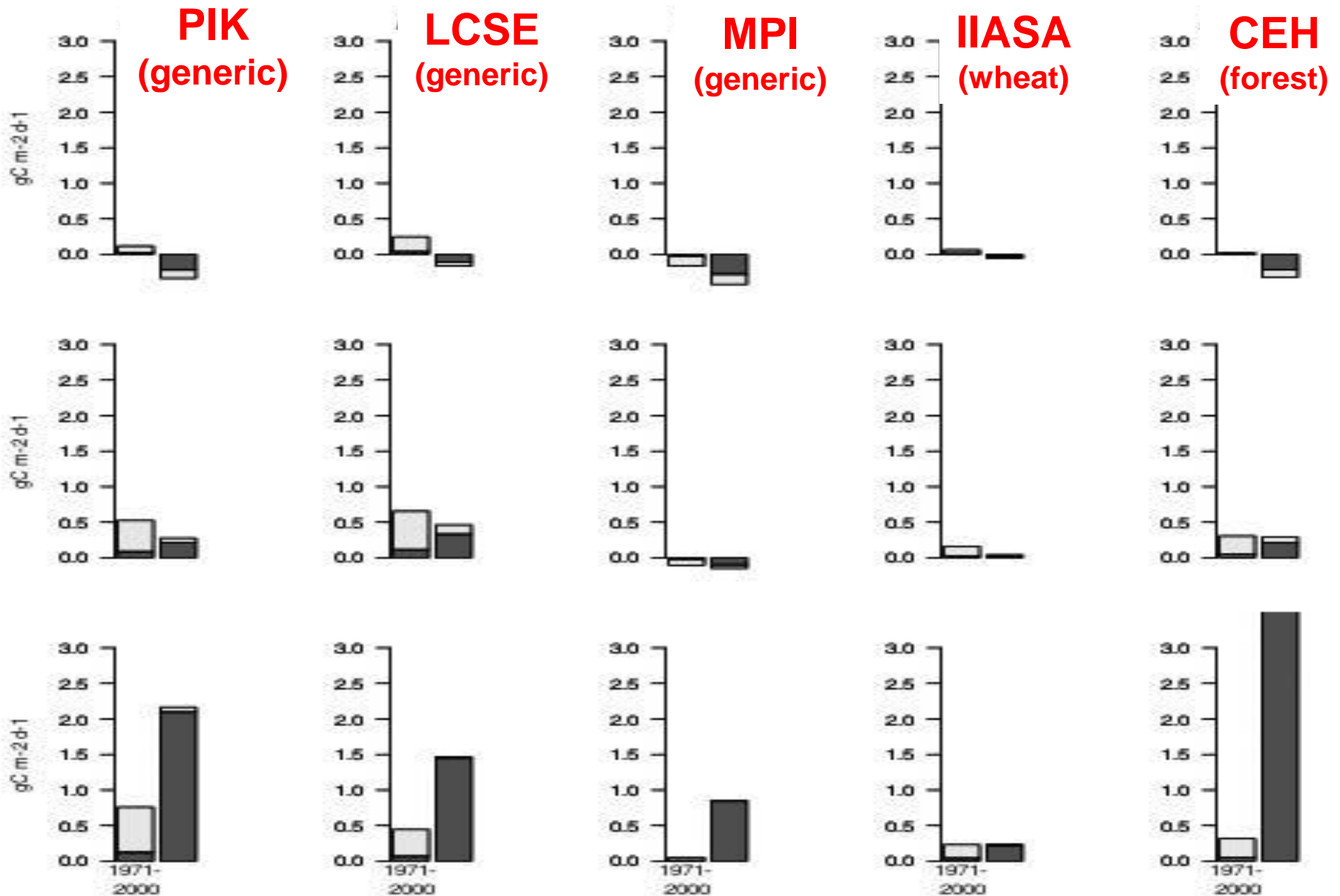
1971-2000 2000-2100



E: Risk[ NPP | SPEI < -1 ]  
2071-2100



# Risk analysis NPP: model comparison



# Risk analysis: Discussion

- Definitions of risk (R), vulnerability (V) and probability of hazard (P(H)) allow decomposition:  
 $R = V * P(H)$
- Analysis method is applicable to data as well as to model outputs
- For drought, both V and R expected to increase in the south, but large model (vegetation) differences